



CMMI® Appraisal - Appraisal Team Member (ATM) Eligibility Policy

Purpose

This document records the eligibility requirements for individuals to participate as Appraisal Team Members (ATMs) on CMMI appraisals.

Scope and Applicability

This policy applies to all individuals seeking to participate as an ATM on a CMMI appraisal.

Background

This policy is an update to the CMMI V2.0 Appraisal Team Member (ATM) Eligibility Policy, policy number 2018-04.

Policy Implementation Detail

There are two pathways for ATMs to become eligible to serve on an appraisal:

1. The following pathway allows an ATM to participate on a 3.X appraisal which includes any domain (Data, Development (DEV), People (PPL), Safety (SAF), Security (SEC), Services (SVC), Suppliers (SPM), Virtual (VRT)):
 - Successfully complete the Building Organizational Capability course
 - Pass the CMMI Practitioner Exam
 - Possess and maintain the CMMI Practitioner certification
 2. The following pathway allows an ATM to participate on a CMMI V2.X appraisal which covers any domain or CMMI V3.X appraisal which includes **only** the Development (DEV) and/or Services (SVC) domains in the appraisal scope:
- Successfully complete the Foundations of Capability or CMMI V2.0 Upgrade Training course (officially sunset as of 31 December 2022)
 - Successfully complete the Building Excellence course(s) for all assigned model views, e.g., ATMs serving on Development appraisals must complete Building Development Excellence; ATMs serving on multiple domain appraisal of Development and Services appraisals must complete both Building Development Excellence and Building Service Excellence
 - **For former CMMI V1.3 ATMs**, an acceptable alternative is successful completion of the Introduction to CMMI V1.3 course in the relevant domain, e.g., Introduction to

CMMI-DEV, Introduction to CMMI-SVC, or Introduction to CMMI-DEV with Acquisition Supplement if CMMI V2.0 Upgrade Training was completed. Otherwise, the individual must complete the requisite Building Excellence course(s)

- If participating on a multiple domain CMMI Appraisal, ATMs must have met the above criteria and all applicable Building Excellence courses (or appropriate upgrade course) for all assigned model domains
- Pass the CMMI Associate Exam
- Possess and maintain the CMMI Associate certification

Additional requirements and considerations:

- If participating on a CMMI High Maturity appraisal, ATMs must also successfully complete the High Maturity Concepts course
- In addition to the above eligibility requirements, prospective ATMs must meet all ATM requirements defined in the CMMI Appraisal Method Definition Document (MDD), including completion of CMMI ATM training, and be officially accepted by the Appraisal Team Leader
- ATMs must be individuals in good standing, having no current unresolved quality issues. Individuals whose certifications have been revoked for quality concerns are ineligible to be ATMs.

Additional References

- Questions regarding this policy can be sent via support.isaca.org
- Published policies are available at [cmmiinstitute.com](https://cmmiinstitute.com/partners/policies) on the policy page:
<https://cmmiinstitute.com/partners/policies>

Revision History

Version Number	Date Published	Date Effective	Changes
V3.0	21 November 2023	21 November 2023	Updated ATM eligibility requirements for alignment with CMMI V3.0
V2.4	27 January 2023	01 January 2023	Updated ATM eligibility requirements in consideration of CMMI V2.0 Upgrade Training Course sunset
V2.3	11 February 2022	22 June 2019	Updated ATM eligibility quality requirements
V2.2	26 October 2021	22 June 2019	Updated to ISACA branding; minor grammar and readability edits
V2.1	30 September 2019	22 June 2019	Updated format & structure
V2.0	22 March 2019	22 June 2019	Updates to clarify requirements for V2.0 ATMs.
V1.0	04 December 2018	01 January 2019	Initial release of policy. (Policy: CMMI V2.0 Appraisal Team Member Eligibility Training Policy, policy number 2018-04)

