



# CMMI Appraisal - Appraisal Disclosure Statement Submission Policy

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## Purpose

This policy defines the requirements for authorizing an Appraisal Disclosure Statement (ADS) which is required pursuant to the CMMI Method Definition Document (MDD).

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## Scope and Applicability

All Certified CMMI Lead Appraisers (LAs) and Appraisal Sponsors are subject to the requirements of this policy. CMMI Partners are responsible for maintaining awareness of all LAs sponsored under their agreement and for their adherence to all CMMI policies.

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## Policy Implementation Detail

The ADS consists of three digital authorizations in the CMMI Appraisal System (CAS):

- **First**, the LA affirms that the information contained in the statement is accurate and that the appraisal was conducted in full accordance with the requirements of the appraisal method.
- **Second**, the Appraisal Sponsor affirms and acknowledges the appraisal scope, accuracy, validity period, and agreement to maintain appraisal records.
- **Third**, both the LA and Appraisal Sponsor have the option to provide authorization for whether ISACA is authorized to publish the appraisal to the Published Appraisal Results System (PARS) website, and whether ISACA is authorized to post appraisal accomplishments to its social media channels.

Appraisals will not be removed from PARS once published unless ISACA does so for quality reasons.

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## Additional References

- Questions regarding this policy should be directed to [support.isaca.org](mailto:support.isaca.org)
- Published Policies are available at [cmminstitute.com](https://cmminstitute.com/partners/policies) on the policy page:  
<https://cmminstitute.com/partners/policies>

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## Revision History

Version Number	Date Published	Date Effective	Changes
V2.0	20 February 2024	20 February 2024	Updated to current process
V1.2	17 January 2020	20 June 2016	Updated format & structure
V1.1	30 September 2019	20 June 2016	Updated format & structure
V1.0	20 June 2016	20 June 2016	Initial release