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CMMI[®] Appraisal - Appraisal Team Member Participation Limits Policy

Purpose

This policy defines the maximum number of Benchmark, Evaluation (including MDDAP), and Sustainment Appraisals a CMMI Appraisal Team Member (ATM) may serve on in a specified timeframe.

Scope and Applicability

This policy applies to all CMMI and MDDAP appraisals.

All CMMI Partners and ATMs are subject to the requirements of this policy. CMMI Partners are responsible for maintaining current knowledge of relevant CMMI Institute polices and guidelines and for ensuring that each certified individual sponsored under their partner agreement adheres to these policies and guidelines.

Background

A key principle of CMMI appraisals is to provide useful and actionable insight into an organization's processes to enable real improvement and predictable project performance. Appraisal plans must clearly convey business and appraisal objectives, appropriate tailoring, risk management, team composition, training, schedules, and other appraisal activities and deliverables that reflect the unique elements of each appraised organization. With so many factors influencing process implementation within an organization, ATMs must invest the time necessary to thoroughly understand an organization and its processes as well as execute the plan put forward by the Appraisal Team Leader (ATL). This policy establishes an annual limit to the number of CMMI and/or MDDAP appraisals on which an ATM can participate to ensure adequate care and rigor is taken to plan for, manage, and credibly assess an organization's capabilities.

Policy Implementation Detail

In the context of this policy, performance of any part of the Conduct Appraisal Phase of a CMMI or MDDAP Appraisal constitutes performance of an appraisal.

- The maximum number of CMMI and/or MDDAP Appraisals on which an ATM is permitted to participate is a cumulative total of 30 per calendar year.
- ATMs may participate on no more than six (6) CMMI and/or MDDAP Appraisals in a 60calendar day period.



Violations of this policy will result in corrective action up to and including termination of certifications per the <u>Corrective Action Policy</u>.

Additional References

- PARS can be found at https://cmmiinstitute.com/learning/appraisals/results
- Questions regarding this policy can be submitted via support.isaca.org
- Published policies are available at cmmiinsitute.com on the policy page: https://cmmiinstitute.com/partners/policies

Revision History

Version	Date	Date	Changes
Number	Published	Effective	
V1.0	26 September 2025	01 January 2026	Initial release